

Hanoi, ..... May 2017

**NOMINATION LETTER  
MEMBERS OF THE BOARD OF DIRETORS**

**Dear: Organizing Committee of the 2017 Annual General Meeting of Shareholders  
VNDIRECT Securities Corporation**

We, ....., are shareholders of VNDIRECT Securities Corporation, with the total number of shares held for a continuous period of 6 months or more (up to the date of closing the list of participating shareholders of the 2017 Annual General Shareholders Meeting) is: .....shares, representing for .....% of total voting shares of VNDIRECT Securities Corporation.

We agreed to nominate Mr. / Mrs. / Ms. ....

ID/ Passport No. ....

As a candidate for the election of members of the Directory Board [*either independent or executive member*] of VNDIRECT Securities Corporation at the Annual General Meeting of Shareholders in 2017.

The relationship between nominator(s) and nominee: .....

We affirm that: (i) We have the conditions to exercise the right to nominate in compliance with the law provisions, the Company's Charter and Regulations; (ii) the nominee meets required standards for being a member of the Board of Directors [*either independent or executive member*] of VNDIRECT Securities Corporation, according to the law provisions and the Company's Charter and Regulations.

We take full responsibility for the accuracy and legitimacy of this nomination.

Full name of Nominator(s)

.....

Documents enclosed with the Letter of Nomination include:

- Curriculum Vitae written in provided form;
- A copy of ID card/ Passport of nominee;
- Other certifications or documents proving that the candidate is eligible to be a member of the Board of Directors.

Hanoi, ..... May 2017

**NOMINATION LETTER  
MEMBERS OF THE BOARD OF SUPERVISORS**

**Dear: Organizing Committee of the 2017 Annual General Meeting of Shareholders  
VNDIRECT Securities Corporation**

We, ....., are shareholders of VNDIRECT Securities Corporation, with the total number of shares held for a continuous period of 6 months or more (up to the date of closing the list of participating shareholders of the 2017 Annual General Shareholders Meeting) is: .....shares, representing for .....% of total voting shares of VNDIRECT Securities Corporation.

We agreed to nominate Mr. / Mrs. / Ms. ....

ID/ Passport No. ....

As a candidate for the election of members of the Supervisory Board of VNDIRECT Securities Corporation at the Annual General Meeting of Shareholders in 2017.

The relationship between nominator(s) and nominee: .....

We affirm that: (i) We have the conditions to exercise the right to nominate in compliance with the law provisions, the Company's Charter and Regulations; (ii) the nominee meets required standards for being a member of the Board of Supervisors of VNDIRECT Securities Corporation, according to the law provisions and the Company's Charter and Regulations.

We take full responsibility for the accuracy and legitimacy of this nomination.

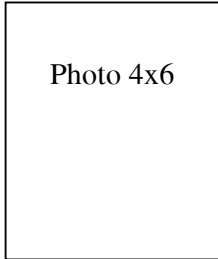
Full name of Nominator(s)

.....

Documents enclosed with the Letter of Nomination include:

- Curriculum Vitae written in provided form;
- A copy of ID card/ Passport of nominee;
- Other certifications or documents proving that the candidate is eligible to be a member of the Board of Supervisors.

**CURRICULUM VITAE**



- 1) Full Name Gender: Male/Female;
- 2) Date of Birth:
- 3) Place of Birth:
- 4) Nationality:
- 5) ID no./ Passport no.: , date of issue:  
place of issue: expiry date:
- 6) Registered address on ID:
- 7) Current address:
- 8) Education level:
- 9) Occupation:
- 10) Full name and address of the legal entity that you represent, the proportion of represented capital contribution (in the case of representative of the legal entity):
- 11) Quantity and proportion of shares held in securities companies (listed in details)
- 12) Education:

Time	School/ Institute	Major	Degree/ Certification

- 13) Working experience:

Time	Workplace	Position

- 14) Declaration of related party (*declare all relationship with "related party" as specified in Clause 34, Article 6 of the Securities Law and Clause 17 Article 4 of the Enterprise Law*)

Full name/ Name of associated institutions	Relationship with applicant	Business License no./ ID no., date and place of issue	Workplace	Position	Name of the securities company that applicant holds shares	Number and porportion of shares held


I affirm and take full responsibility for the accuracy of declared information above.

.....,May 2017

<p><i>Certification of signature from the organization where applicant currently working for or certification of signature from the authorized People's Committee where applicant registered permanent residence.</i></p>	<p><b>Applicant</b> <i>(Sign, full name)</i></p>
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